

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tsukasa Kobayashi, et al.

Examiner:

Unassigned

Serial No:

10/091,894

Art Unit:

3732

Filed:

March 6, 2002

Docket:

15344

For:

APPARATUS FOR LIGATING

Dated:

April 5, 2004

LIVING TISSUES

Conf. No.:

6289

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. German Patent Application No. DE 102 03 956 A1, dated September 26, 2002;
- 2. German Patent Application No. 26 04 024, dated August 5, 1976; and
- 3. U.S. Patent No. 5,242,456, dated September 7, 1993, issued to Nash et al.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 11450, Alexandria, VA 22313-1450, on April 5, 2004.

Dated: April 5, 2004

Thomas Spinelli

The references were cited in an Office Action dated January 29, 2004 received

from the German Patent Office. Applicants are submitting copies of the above-cited

references, together with a copy of the Office Action and an English translation thereof. The

relevance of the above-identified references has been described in the Office Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise

statement of relevance, as it is presently understood by the individual designated in 37 C.F.R.

§1.56(c) most knowledgeable about the content of the information, the undersigned attorney

of record submits a translation of the official action by a foreign examiner in which the

references were cited. The relevance to the pending U.S. patent application is that the

references were cited in a foreign patent application on the same subject matter. However, no

independent analysis of the references, the accuracy of the statement of the foreign examiner

or the claims of the foreign application under the laws of that country or the United States

relative to the subject matter claimed in the present application has been made; the present

understanding of the contents thereof by the undersigned being based on the translation of the

foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in

accordance with the schedule set out in 37 C.F.R.§ 1.97(b), no statement or fee is required.

Respectfully submitted,

Thomas Spinelli

Registration No.: 39,533

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TS:cm

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TRANSMITTAL O	Docket No. 15344							
In Re Application Of: Tsukasa Kobayashi, et al. APR 0 8 2004								
Serial No. 10/091,894	Filing Date March 6, 2002	TRADE AND EXAMINER Unassigned	Group Art Unit 3732					
Title: APPARATUS FOR LIGATING LIVING TISSUES								
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450								
	37 (CFR 1.97(b)						
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
	37 (CFR 1.97(c)	!					
2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:								
☐ the statement specified in 37 CFR 1.97(e);								
	OR							
☐ the fee se	et forth in 37 CFR 1.17(p).							
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	SURE STATEMENT	Docket No. 15344		
In Re Application: T	sukasa Kobayashi, et al.	0 8 2004		
Serial No.	Filing Date	TRADE MATTER Examiner	Group Art Unit	
10/091,894	March 6, 2002	Unassigned	3732	
APPARATUS FOI	R LIGATING LIVING TISSUES			
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		ects to pay the fee set forth in 37 CFR 1	.17(p))	
as described below Charge the Credit any Charge and Certificate of Tolerand Indemnark Compared to Typed or Printed N	re amount of voverpayment. In yadditional fee required. Transmission by Facsimile* Transmission by Facsimile*	Certificate of Mailing by	fee is being deposited the U.S. Postal Service 7 C.F.R. 1.8 and is er for Patents, P.O. Box	
Chon	y only be used if paying by ignature resser	Dated: April 5, 2004		
cc:				

			Docket Number (Optional) Application Number 15344 10/091,894				
INFORMATION DISCLOSURE	Applicant(s) Tsukasa Kobayashi, et al.						
(Use several sheets if necessa	Filing Date		Group Art Unit				
			March 6, 20			3732	
APR 0 8 2004		U.S. PAT	ENT DOCUMENTS				
DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS FILING DATE IF APPROPRIATI		
5,242,456	9/7/1993	Nash et al.					
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		FOREIG	N PATENT DOCUMENT	s			
REF DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	Trans YES	lation NO
DE 102 03 956 A1	9/26/2002	Germany					
26 04 024	8/5/1976	Germany					
		OTHER 1	DOCUMENTS (Includia	ng Author, Title, l	Date, Pertinent Pa	iges, Etc.)	
			<u>-</u>				
EXAMINER			DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether not considered. Include copy of this form with next	or not citation is in communication to	a conforman	ace with MPEP Section 609	; Draw line thro	ugh citation if no	t in conform	ance and